

Our Ref. No. 96790.P197D2
EXPRESS MAIL NO. EL651822333US

72/426-02
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:)
F. Kobayashi)
Divisional of Serial No.: 09/027,012)
For: **OPTICAL SEMICONDUCTOR**)
 DEVICE AND METHOD OF)
 FABRICATING THE SAME)

)

Jc857 U.S. PTO
10/067553
02/04/02


INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. /1.56, Applicant hereby discloses the prior art references that have been submitted and/or cited in the following related applications/patents:

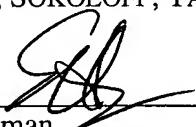
| Serial No. | Filing Date | Patent No. | Issue Date |
|------------|-------------|------------|------------|
| 09/027,012 | 2/18/98 | 5,783,844 | 7/21/98 |

Forms PTO-1449 (modified), PTO-1449 and PTO-892 are enclosed herewith. Copies of the references are not provided as permitted by 37 C.F.R. / 1.98(d).

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/30/02


Eric S. Hyman
Reg. No. 30,139

12400 Wilshire Boulevard, Seventh Floor
Los Angeles, California 90025
(310) 207-3800

| | | |
|-----------------------------------|-------------------------------|----------------------------------|
| Notice of References Cited | Application No. 09/027,012 | Applicant(s) Kobayashi et al. |
| | Examiner Huy Bui | Group Art Unit 2815 |

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TO SEPARATE, HOLD TOP AND BOTTOM EDGES, SNAP-APART AND DISCARD CARBON

| FORM PTO-892 (REV. 2-92) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | SERIAL NO. 81534,333 | GROUP ART UNIT 2503 | ATTACHMENT TO PAPER NUMBER 12 | |
|--|---|--|---------|-------------------------|------------------------|---|--------------|
| NOTICE OF REFERENCES CITED | | APPLICANT(S) Kobayashi et al. | | | | | |
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| EXAMINER and DATE | | | | | | | |
| * A copy of this reference is not being furnished with this office action. (See Manual of Patent Examining Procedure, section 707.05 (a)) | | | | | | | |

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|---|--|-----------------------------------|-------------------------------|
| Form PTO-1449 (Modified) | | Atty Docket No. 96790P197D | Application No. 09/027,012 |
| List of Patents & Publications Statement (Use several sheets if necessary) | | Applicant(s): F. Kobayashi | |
| | | Filing Date: February 20, 1998 | Group No.: 2815 |

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| AR | Patent Abstracts of Japan, vol. 17, no. 174, April 5, 1993 |
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| AT | |

Examiner:

Date Considered:

10/6/2003

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PTO/SB/08A (08-00)

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Approved for use through 10/01/2022 GPO:2021-090
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| <p>Substitute for Form 1449A/PTO</p> <p>O I P E INFORMATION DISCLOSURE STATEMENT BY APPLICANT OCT 09 2001</p> <p>OCT 10 2001 U.S. PATENT & TRADEMARK OFFICE</p> <p>(use as many sheets as necessary)</p> | | Complete if Known | |
| | | Application Number | 09/027,012 |
| | | Filing Date | 02/18/98 |
| | | First Named Inventor | Kobayashi |
| | | Group Art Unit | 2815 |
| | | Examiner Name | Jerome Jackson Jr. |
| Sheet | 1 | of | Attorney Docket Number |
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| Exam. Inquiry | Cite No. | Document Number | Name of Patentee or Applicant of Cited Document | Date of Publication or Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ² |
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.



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| Form PTO-1449 (Modified) | | Atty Docket No. 96790.P197 | Serial No. 08/534,333 |
| List of Patents & Publications Statement | | Applicant(s): Fuhihiko Kobayashi et al. | |
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96790.p197Serial No.
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List of Patents & Publications Statement

Applicant(s):

FUMIHIKO KOBAYASHI et al.

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9/27/95

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EXAMINER

DATE CONSIDERED,

10/16/03